

RESOLUTION NO. 11-481

**RESOLUTION AUTHORIZING THE HARTFORD GROUP WITH
EDWARD JONES AS ADMINISTRATOR FOR THE TOWN OF MOUNT
CARMEL 457(b) DEFERRED COMPENSATION PLAN**

WHEREAS, the Town of Mount Carmel has employees rendering valuable services; and

WHEREAS, the 457(b) Deferred Compensation Plan for such employees serves the interest of the Town by enabling it to provide reasonable retirement security for its employees, by providing increased flexibility in its personnel management system, and by assisting in the attraction and retention of competent personnel; and

WHEREAS, the Town employees participating in the 457(b) Deferred Compensation Plan have met with a representative of Edward Jones, representing The Hartford Group; and

WHEREAS, the participating Town employees request that the Board of Mayor and Aldermen authorize the Hartford Group with Edward Jones as the administrator for the Town employees 457(b) Deferred Compensation Plan;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF MAYOR AND
ALDERMENT OF THE TOWN OF MOUNT CARMEL, TENNESSEE, as follows

SECTION I. That the Town employees 457(b) Deferred Compensation Plan will begin using The Hartford Group with Edward Jones for the investment management, administrator and record keeping for the Town of Mount Carmel 457(b) Deferred Compensation Plan.

SECTION II. That the City Recorder and the Finance Officer be the plan trustees.

SECTION III. That Greg Spain of Edward Jones, 627 Asheville Highway, Greeneville, TN 37743 will be the local representative working with the Plan and the employees of the Town of Mount Carmel.

SECTION IV. That this Resolution shall take effect upon its adoption, the public welfare requiring it.

Duly passed and approved this the 25th day of October, 2011.



GARY W. LAWSON, Mayor

ATTEST:

Marian Sandidge
MARIAN SANDIDGE, Recorder



APPROVED AS TO FORM:

Joe May
LAW OFFICES OF MAY & COUP

FIRST READING	AYES	NAYS	OTHER
Alderman Eugene Christian	✓		
Alderman Leann DeBord	✓		
Alderman Frances Frost	✓		
Alderman Kathy Roberts			absent
Alderman Thomas Wheeler	✓		
Vice-Mayor Carl Wolfe	✓		
Mayor Gary Lawson	✓		
TOTALS	6	0	1

PASSED: October 25, 2011

Edward Jones

Acct #: _____ Date: _____
Account Class Code: 12 - CORPORATION
Financial Advisor No: _____ BR No: _____
Destination: _____

Corporate Resolution Certification Form

This form is not an official corporate resolution and is used to provide and certify to Edward Jones the accuracy of certain information about the corporation and its authorized representatives.

All blanks must be completed.

A. Authorized Representative Acknowledgement Regarding Corporate Resolution

The undersigned acknowledges, being a duly elected and qualified officer of the below-described corporation, that the following resolution, or a resolution substantially similar in form and substance, was properly adopted at a meeting of the board of directors of this corporation held on _____, according to the bylaws of the corporation and applicable law.

Resolved, that the individuals identified shall have the full power and authority on behalf of the corporation to enter an agreement on behalf of the corporation; open a brokerage account on the behalf of the corporation; do and perform every act to be done in conjunction with the account being opened, including, but not limited to, being able to transfer, endorse, sell, assign, and deliver any and all certificates of stock, bonds, debentures, notes, subscriptions, warrants, stock purchase warrants, evidences of indebtedness, or other securities now and hereafter registered in the name of or owned by the corporation; and make, execute, and deliver any and all written instruments necessary to effectuate the authority hereby granted.

B. Information about Company

1. Exact Name of the Corporation: _____

4. Principal Place of Business: _____

2. State of Incorporation: _____

3. Date of Organization: _____

(Must be MM/DD/YYYY)

C. Authorized Representative Acknowledgement and Indemnification

In consideration of Edward Jones accepting this form, the undersigned agrees and certifies that all information provided in this form is true and accurate, and will promptly notify Edward Jones if any of the information provided becomes inaccurate, misleading or incomplete. The undersigned acknowledges that all of the individuals listed in the Business Account Authorization and Acknowledgement Form are authorized representatives of the corporation. Further, the undersigned acknowledges and agrees that the resolution identified in Section A above is still in full force and effect and has not been rescinded, modified or amended. This certification may be relied upon by Edward Jones until such time as Edward Jones is notified in writing of such change in the information or authority reflected in this document.

The undersigned agrees to indemnify and hold harmless Edward Jones, its affiliates, agents, principals and employees for any and all claims, damages, liability, losses (including attorney fees and expenses) arising out of or related to the information provided in this form.

Further, the undersigned acknowledges that, by this form, Edward Jones has advised the undersigned to consult a legal advisor if there are any questions regarding this form and its contents.

D. Required Signature

Signature and Title

Printed Name and Title

Date

Greg Spain
Financial Advisor
627 Asheville Highway
Greeneville, TN 37743

Edward Jones

Fax Sheet

October 19, 2011

Marian Sandidge

(423) 357-7710

DATE

TO

FAX NUMBER

2

Greg Spain

(877) 697-9779

NUMBER OF PAGES
including cover sheet

FROM

FAX NUMBER

- ☐ For your information.
- ☒ Here are the documents we discussed.
- ☐ Please sign and return to our office.
- ☐ Please call me about the following documents.
- ☐ Here is some information relating to your investment. Please review.
- ☐ As you requested.
- ☐ Diversification issue. Call me.
- ☐ We should discuss this.
- ☐ Following is important account information. Please check it for accuracy, complete if required, sign where indicated, and return.
- ☐ Please complete the following and fax back to our office at (877) 697-9779
- ☐ Please sign and fax back to our office at (877) 697-9779

Please call our office with any questions.

Phone: **(423) 798-9258**

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Greg Spain, AAMIS
Financial Advisor

Edward Jones
MAKING SENSE OF INVESTING



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